

## **Programme: GISI - CISI Qualification Pathway**

### **1. Basic (Fundamentals of Financial Services)**

Chapter 1 - Ethics and Integrity in Financial Services

Chapter 2 - Saving and Borrowing

Chapter 3 - Banking

Chapter 4 - Equities

Chapter 5 - Bonds

Chapter 6 - Derivatives

Chapter 7 - Markets

Chapter 8 - Other Areas of Financial Services

### **2. Advanced (International Introduction to Securities and Investments)**

Chapter 1 -The Financial Services Sector

Chapter 2 -The Economic Environment

Chapter 3 - Financial Assets and Markets

Chapter 4 - Equities & Stocks

Chapter 5 - Bonds

Chapter 6 - Derivatives

Chapter 7 - Investment Funds

Chapter 8 - Regulation And Ethics

Chapter 9 - Other Financial Products

### **3. Legal and Regulatory Framework**

Chapter 1 - The Regulatory System Of The Financial Sector

Chapter 2 - Companies Act 2019 ( Act 992)

Chapter 3 - Law Of Contract

Chapter 4 - Securities Industry Act 2016 (Act 929)

Chapter 5 - Sub Regulators In The Securities Industry Act 2016 (Act 929)

Chapter 6 - Raising Of Capital By Companies

Chapter 7 - Foreign Exchange Dealings

Chapter 8 - Regulatory Framework Relating To Financial Crime

Chapter 9 - Arbitration And Alternative Dispute Resolution

Chapter 10 - Jurisdiction

Chapter 11 - Enforcement Of Foreign Judgements

## **4. Professional Level Courses**

### **4.1 Securities Trading**

Chapter 1 - The Financial Services Sector

Chapter 2 - Asset Classes

Chapter 3 - Markets

Chapter 4 - Primary Markets

Chapter 5 - Secondary Markets

Chapter 6 - Corporate Actions

Chapter 7 - Clearing and Settlement

Chapter 8 - Accounting Analysis

Chapter 9 - Risk and Reward

### **4.2 International Certificate In Wealth and Investment Management**

Chapter 1 - The Financial Services Sector

Chapter 2 - Industry Regulation

Chapter 3 - Asset Classes

Chapter 4 - Collective Investments

Chapter 5 - Fiduciary Relationships

Chapter 6 - Economics and Investment Analysis

Chapter 7 - Investment Management

Chapter 8 - Lifetime Financial Provision

### **4.3 Corporate Finance Technical Foundations**

Chapter 1 - Quantitative Methods for Corporate Finance

Chapter 2 - Financial Statements Analysis

Chapter 3 - Capital Structure

Chapter 4 - Introduction to Business Valuations

Chapter 5 - Corporate Transactions

Chapter 6 - Corporate Finance Documentation